

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 09/896,378 06/29/2001

Michael Boock

42390.P11395

CONFIRMATION NO. 9537

FORMALITIES LETTER

OC000000006451819

Gordon R. Lindeen III BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP 12400 Wilshire Boulevard, Seventh Floor Los Angeles, CA 90025-1026

Date Mailed: 08/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/06/2001 BNGUYEN1 00000015 09896378

01 FC:105

130.00 OP

Pur Docket No: 042390.P11395

PATENT

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SEP 0 4 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In reaching plication of:)	
Boock et al.) Examin	ner: Not yet assigned
Application No.: 09/896,378) Art Un	it: Not yet assigned
Filed: June 29, 2001))	
For: Method and Apparatus for Adapting a Clock Rate Transition in a Communications Network Using Idle)	

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION (FILING DATE GRANTED)

Assistant Commissioner for Patents Box Missing Parts Washington, D.C. 20231

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed August 21, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;

FIRST CLASS CERTIFICATE OF MAILING. (37 C.F.R. § 1.8 (a))

If hereby certify that this correspondence is being denosited with the United States Postal Service as first of the inclusion I with sufficient postage in an envelope address and the control of the constant Commissioner for Patents, Washington, DG 227-11.

On Date of Deposit

Name of Person Mailing Correspondence

Docket No.: 042390.P11395 Application No.: 09/896,378

- 1 -

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 8/31//

Gordon R. Lindeen III Reg. No. 33,192

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025-1026 (303) 740-1980

Docket No.: 042390.P11395

Application No.: 09/896,378 - 2 -

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Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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	PROTECTION OF THE PROPERTY OF	FEE TRANSMITT	AL FOR FY 2001	
	TRAIN	TOTAL AMOUNT OF PA	YMENT (\$)\$130	0.00
Com	plete if Known:			
Appli	cation No.	09/896,378		
Filing	Date June 29	2001		
First	Named Invento	Boock et al.		

Examiner Name Not yet assigned Attorney Docket No. 042390.P11395

Group Art Unit Not yet assigned

METHOD	OF PA	YMENT	(check one	١
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Deposit Account Name

1.]	X]	The Commissioner is hereby a any over payments to:	authorized to charge indicated fees and credit
				Deposit Account Number	02-2666

[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 FIRST CLASS CERTIFICATE OF MAILING

	[]	Applicant claims sma	all entity st	(37 C.F.R. 9 1.6 (a)) I hereby certify that this correspondence is being deposited	
 2.	X	Payment Enclosed:	_X	A 1 1	with the United States Postal Service as first at a self with sufficient postage in an envelope address and the commissioner for Patents, Washington, Ed. 2011.

FEE CALCULATION

BASIC FILING FEE

Large I	Entity	Small	Entity		Signature (
Fee	Fee	Fee	Fee		•
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
101	710	201	355	Utility application filing fee	4
106	320	206	160	Design application filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional application filing fee	
1				• •	

SUBTOTAL (1) \$0.00

2. EXTRA CLAIM FEES Extra Claims		Fee from below		Fee Paid
Total Claims20** = 0 Independent Claims3** = 0 Multiple Dependent	X	18.00 80.00	= =	0.00
**Or number previously paid, if greater; For Reissues, see below. <u>Large Entity</u> <u>Small Entity</u> Fee Fee Fee				

Large Entity		<u>Small</u>	<u>Entity</u>	
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0.00

FEE CALCULATION (continued) 3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code Code (\$) **Fee Description** Fee Paid (\$) 105 205 130 65 Surcharge - late filing fee or oath 130.00 127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 2,520 For filing a request for ex parte reexamination 147 2,520 147 112 920* 112 920* Requesting publication of SIR prior to **Examiner action** 113 1,840* Requesting publication of SIR after 113 1,840* **Examiner action** 115 215 110 55 Extension for reply within first month 116 390 216 195 Extension for reply within second month 890 Extension for reply within third month 117 217 445 118 1.390 218 695 Extension for reply within fourth month 128 1,890 228 945 Extension for reply within fifth month 119 310 219 155 **Notice of Appeal** 120 310 220 155 Filing a brief in support of an appeal 121 270 221 135 Request for oral hearing Petition to institute a public use proceeding 138 1,510 138 1.510 140 110 240 55 Petition to revive - unavoidable 141 1.240 241 620 Petition to revive - unintentional 142 1,240 242 620 Utility issue fee (or reissue) 143 440 243 220 Design issue fee 144 600 244 300 Plant issue fee 122 130 122 130 **Petitions to the Commissioner** 123 130 123 130 Petitions related to provisional applications 126 180 126 180 **Submission of Information Disclosure Stmt** 581 581 Recording each patent assignment per 40 40 property (times number of properties) 146 710 246 355 For filing a submission after final rejection (see 37 CFR 1.129(a)) 149 710 249 355 For each additional invention to be examined (see 37 CFR 1.129(b)) 179 279 710 355 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application Other fee (specify) Other fee (specify) SUBTOTAL (3) \$130.00 *Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed Name: Gordon R. Lindeen III 8/31 Signature: Date: Reg. Number: <u>33,192</u> **Telephone Number:** _ 303-740-1980

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